



BARRON'S INDEPENDENT SUMMIT

MARCH 22-26, 2021
VIRTUAL

MONDAY, MARCH 22, 2021

3:00 PM - 3:30 PM

How to Fire a Client

Valerie Newell, Mariner Wealth Advisors
Kimberlee Orth, Ameriprise Financial
Moderated By: Ray Sclafani, CEO, ClientWise

3:30 PM - 4:00 PM

Outperforming the Global Index, Top Stock Ideas & China

Danton Goei, Portfolio Manager, Davis Advisors
Interviewed By: Jack Otter, Global Head, Wealth & Asset Management, Barron's Group

4:00 PM - 4:30 PM

The Evolution of Financial Technology

Bill Crager, Co-Founder & CEO, Envestnet
Ed Swenson, Co-Founder & COO, Dynasty Financial Partners
Moderated By: Christina Townsend, Managing Director and Head of Relationship Management, Consulting and Platform Strategy, BNY Mellon | Pershing

4:30 PM - 5:00 PM

Building Character, a Career and Cars

Jay Leno, Host of *Jay Leno's Garage*, Former Host of *The Tonight Show with Jay Leno*
Interviewed By: Jack Otter, Global Head, Wealth & Asset Management, Barron's Group

BREAKOUT Q&A SESSIONS

4:00 PM - 4:30 PM

Live Q&A: Outperforming the Global Index, Top Stock Ideas & China

Danton Goei, Portfolio Manager, Davis Advisors



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TUESDAY, MARCH 23, 2021

- 3:00 PM - 3:30 PM **Working On The Business Versus In The Business**
Rob Mooney, Managing Partner & CEO, Snowden Lane Partners
Ron Rubin, President, Vice-Chair, Chief Culture Officer, The Colony Group
Moderated By: Cammie Doder, Chief Marketing Officer, Aspiriant
- 3:30 PM - 4:00 PM **Setting Your Firm Apart with Behavioral Finance**
Dr. Daniel Crosby, Chief Behavioral Officer, Orion Advisor Solutions
- 4:00 PM - 4:30 PM **Growing and Leading Your Team To Success**
Ali Flynn Phillips, Obermeyer Wood Investment Counsel, LLLP
Kevin Myeroff, NCA Financial Planners
Moderated By: Kay Lynn Mayhue, President, Merit Financial Advisors
- 4:30 PM - 5:00 PM **Exploring Global ESG Integration and Engagement**
Martin Jarzebowski, CFA, Director of Responsible Investing, Federated Hermes
- BREAKOUT Q&A SESSIONS**
- 4:00 PM - 4:30 PM **Live Q&A: Setting Your Firm Apart with Behavioral Finance**
Dr. Daniel Crosby, Chief Behavioral Officer, Orion Advisor Solutions
- 5:00 PM - 5:30 PM **Live Q&A: Exploring Global ESG Integration and Engagement**
Martin Jarzebowski, CFA, Director of Responsible Investing, Federated Hermes



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WEDNESDAY, MARCH 24, 2021

- 2:30 PM - 3:00 PM **Peer Discussion Groups - Optional**
- 3:00 PM - 3:45 PM **The Independent Advisor in 2021 and Beyond**
David Canter, Executive Vice President, Head of RIA and Family Office Segment, Fidelity Investments
Bernie Clark, Executive Vice President and Head of Schwab Advisor Services, Charles Schwab
Ben Harrison, Managing Director and Head of Advisor Solutions, BNY Mellon | Pershing
Moderated By: Jack Otter, Global Head, Wealth & Asset Management, Barron's Group
- 3:45 PM - 4:00 PM **Why Focus on Both Sides of the Balance Sheet?**
Kara Grehan, Vice President, Goldman Sachs Private Bank Select
Anthony Rochte, Managing Director, Head of Goldman Sachs Private Bank Select
- 4:00 PM - 4:30 PM **Effective Strategies for Sustainable, Organic Growth**
Randy Carver, Raymond James
Spuds Powell, Kayne Anderson Rudnick
Moderated By: Meghan McCartan, Managing Director, Head of Marketing, HighTower Advisors
- 4:30 PM - 4:45 PM **Diversifying Your Portfolio with Private Real Estate**
Sean Harris, President, Starwood REIT
John McCarthy, CEO, Starwood REIT
- BREAKOUT Q&A SESSIONS**
- 4:00 PM - 4:30 PM **Live Q&A: Why Focus on Both Sides of the Balance Sheet?**
Kara Grehan, Vice President, Goldman Sachs Private Bank Select
Moderated By: Mario Depasquale, Regional Lending Manager, Goldman Sachs Private Bank Select
- 4:45 PM - 5:15 PM **Live Q&A: Diversifying Your Portfolio with Private Real Estate**
Sean Harris, President, Starwood REIT
John McCarthy, CEO, Starwood REIT



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THURSDAY, MARCH 25, 2021

- 2:30 PM - 3:00 PM **Peer Discussion Groups - Optional**
- 3:00 PM - 3:30 PM **ScratchWorks: Evaluating Fast-Growing Companies**
Marty Bicknell, CEO and President, Mariner Wealth Advisors
Shannon Eusey, CEO, Beacon Pointe Advisors
Robert Sofia, Founder, Chairman & CEO, Snappy Kraken
Moderated By: Lisa Burns, Head of Platform Technology, Fidelity Investments
- 3:30 PM - 4:00 PM **What Will a Biden Administration Mean for Municipal Bonds?**
Andy Blocker, Head of US Government Affairs, Invesco
Stephanie Larosiliere, Head of Municipal Business Strategies and Development, Invesco
Moderated By: Brian Levitt, Global Market Strategist, Invesco
- 4:00 PM - 4:30 PM **Inside the Deal: Analyzing Acquisitions**
Lori Van Dusen, LVW Advisors
Scott Hanson, Allworth Financial
Moderated By: Brad Losson, VP & National Managing Director, Relationship Management, Charles Schwab
- 4:30 PM - 5:00 PM **Expanding Opportunity with Alternative Investments**
Jason Cummins, Head of Research and Chief US Economist, Brevan Howard
Nicholas Millikan, Managing Director, Intelligence & Insights, CAIS IQ, CAIS
Michael Novogratz, CEO & Founder, Galaxy Digital
- BREAKOUT Q&A SESSIONS**
- 4:00 PM - 4:30 PM **Live Q&A: What Will a Biden Administration Mean for Municipal Bonds?**
Andy Blocker, Head of US Government Affairs, Invesco
Stephanie Larosiliere, Head of Municipal Business Strategies and Development, Invesco
Moderated By: Brian Levitt, Global Market Strategist, Invesco
- 5:00 PM - 5:30 PM **Live Q&A: Expanding Opportunity with Alternative Investments**
Steve Kurz, Partner, Head of Asset Management, Galaxy Digital
Nicholas Millikan, Managing Director, Intelligence & Insights, CAIS IQ, CAIS



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FRIDAY, MARCH 26, 2021

- 12:00 PM - 12:15 PM **Maintaining Balance While at Full Capacity**
Kristen Johnson Brogan, Chef, International Keynote Speaker & The Chief Mindful Living Officer, On Target Living
- 12:15 PM - 12:45 PM **From Core Values to Critical Conversations: What Your Brand Really Means**
Terri Trespicio, Speaker, Author, and Brand Advisor, Owner of Terri Trespicio, LLC
- 12:45 PM - 1:15 PM **Alternative Credit: Alternative No Longer**
Michael Herzig, Senior Managing Director and Head of Business Development, First Eagle Alternative Credit
Interviewed By: Anirudh Kirtane, Head of Applied Research, First Eagle Investment Management
- 1:15 PM - 1:30 PM **Barron's Research Review**
Matt Barthel, Executive Editor, Wealth & Asset Management, Barron's Group
Interviewed By: Jack Otter, Global Head, Wealth & Asset Management, Barron's Group

BREAKOUT Q&A SESSIONS

- 1:15 PM - 1:45 PM **Live Q&A: Alternative Credit: Alternative No Longer**
Michael Herzig, Senior Managing Director and Head of Business Development, First Eagle Alternative Credit
Anirudh Kirtane, Head of Applied Research, First Eagle Investment Management